

PATENT

Customer Number: 22,852 Attorney Docket No. 03204.0063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2768

Examiner: Unassigned

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In re Application of:

Joseph A. GIORDANO

Application No.: 09/505,721

Filed: February 17, 2000

For: SYSTEM AND METHOD FOR

PROCESSING FINANCIAL

TRANSACTIONS

Assistant Commissioner for Patents Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached. English-language Abstracts are provided for the following documents:

- 1. JP 4024153
- FR 2600318
- EP 0 696 021 A2
- EP 0 723 241 A1
- 5. EP 0 887 776 A1
- 6. EP 0 907 134 A1

FINNEGAN, HENDERSON, FARABOW, GARRETT, & DUNNER, L.L.P. 1300 I STREET, N. W. WASHINGTON, DC 20005 202-408-4000

LAW OFFICES

Attorney Docket No. 03204.0063 Serial No. 09/505,721

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: November 13, 2000

Leonard Smith, Jr.

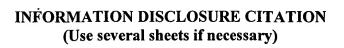
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FINNEGAN, HENDERSON, FARABOW, GARRETT, & DUNNER, L. L. P. 1300 I STREET, N. WASHINGTON, DC 20005

Atty. Docket No.	03204.0063			Serial No.	09/5	05,721	Page	1 of 5	In		
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	4,075,632	02/21/78	Bald	win et al.							
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	4,335,426	06/15/82	Max	well et al.							
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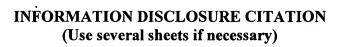
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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date
	4,614,945	09/30/86	Brunius et al.			
	4,658,371	04/14/87	Walsh et al.			1C 2
	4,691,202	09/01/87	Denne et al.			RECEI NOV 14
	4,739,328	04/19/88	Koelle et al.			HA F
	4,799,059	01/17/89	Grindahl et al.			VED 2000
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-	4,881,581	11/21/89	Hollerback			
	4,882,779	11/21/89	Rahtgen			
	4,912,471	03/27/90	Tyburski et al.			
	4,918,955	04/24/90	Kimura et al.			
	4,932,049	06/05/90	Lee			
	4,989,146	01/29/91	Imajo			
	5,047,613	09/10/91	Swegen et al.			
	5,124,697	06/23/92	Moore			
	5,144,312	09/01/92	McCann			
	5,144,667	09/01/92	Pogue, Jr. et al.			
	5,156,198	10/20/92	Hall			
	5,164,985	11/17/92	Nysen et al.			
	5,214,409	05/25/93	Beigel			
	5,257,011	10/26/93	Beigel			
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		5,351,052	09/27/94	D'Ho	ont et al.		1	00
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		5,377,270	12/27/94	Koop	oman, Jr. et al.			
		5,383,500	01/24/95	Dwa	rs et al.			
		5,422,624	06/06/95	Smit	h			
		5,450,492	09/12/95	Hook	c et al.			
		5,453,747	09/26/95	D'Ho	ont et al.			
		5,482,139	01/09/96	Riva	lto			
		5,485,520	01/16/96	Chau	m et al.			
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	5,602,745	02/11/97	Ato	chley et al.			-1
	5,602,919	02/11/97	Hu	rta et al.			2
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	5,612,890	0/3/18/97	Str	asser et al.			A T I
	5,628,351	05/13/97	Ra	msey, Jr. et al.			VEC 2000 1 R
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	09/224,027	12/31/98					
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	WO 94/16396	07/21/94	•	PCT			
	WO 95/32919	12/07/95		PCT			
	WO 96/28789	09/19/96		PCT			
	WO 96/28791	09/19/96		PCT			
	WO 97/21626	06/19/97		PCT			
	OTHER DOCU	MENTS (Includ	ing A	uthor, Title, Date	, Pertinent P	ages, Etc.)	
	Quinlan, "Radio	Tags - The New Id	lentifi	ers," Handling & S	Shipping Man	agement, v	. 28, pp. 90-93 (1985)
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 -	WO 97/24689	97/10/97	PCT							
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	4,313,168	01/26/82	Stephens et al.			
	4,325,146	04/13/82	Lennington			* *
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	4,335,426	06/15/82	Maxwell et al.			
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	4,361,837	11/30/82	Malinowski et al.	W H		•
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	5,343,906	09/06/94	Tibbals, III				N = 7
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	5,508,705	04/16/96	Spiess				
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		WO 94/16396	07/21/94		PCT			777
		WO 95/32919	12/07/95		PCT	-		
		WO 96/28789	09/19/96		PCT			
		WO 96/28791	09/19/96		PCT		-	
		WO 97/21626	06/19/97		PCT			
		OTHER DOCU	MENTS (Incl	luding A	uthor, Title, Date,	Pertinent Pa	iges, Etc.)	
		Quinlan, "Radio T	ags - The New	v Identifi	ers," Handling & S	hipping Mana	igement, v.	28, pp. 90-93 (1985)
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	EP 0 723 241 A1	07/24/96	EPO		Yes					
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	EP 0 887 776 A1	12/30/98	EPO		Yes					
	EP 0 907 134 A1	04/07/99	ЕРО		Yes					
,	EP 0 930 590 A1	07/21/99	EPO							
xaminer			Date Considered							
C	nitial if reference considering if not in conformate applicant.									
	citation if not in conforma	nce and not consid	dered. Include copy of		nmunication to					